

# Expedited Settlement Offer Worksheet Violations Form For Wastewater

Version 1 (updated April 2019)

*Consult instructions regarding eligibility criteria and procedures prior to use.*



LEGAL NAME AND MAILING ADDRESS OF RESPONSIBLE ENTITY			NPDES Permit Number		
1	Terri Cooper Mayor, City of Medical Lake PO Box 369 or 200 Lefevre Street Medical Lake, Washington 99022		WA0021148		
			Permit Effective Date:	August 1, 2014	
			Permit Expiration Date:	July 31, 2019 (admin ext)	
LOCATION AND ADDRESS OF FACILITY			EPA Contact Name:	Vanessa Oquendo	
2	City of Medical Lake Water Reclamation Facility East 207 Ellen Avenue Medical Lake, Washington 99022		EPA Contact Title:	Compliance Officer	
			EPA Office:	Region 10 - Seattle, WA	
FACILITY DESCRIPTION / CONTACT NAMES					
	Name of Facility Contact (ESO Worksheet recipient):		Terri Cooper with cc to current plant operator and city administrator (Steve Cooper; Sonny Weathers)		
	Name of Authorized Official (40 CFR 122.22):		Mayor Terri Cooper		
	Are any findings a result of an inspection?		No		
	Inspection Date(s) (if applicable):				
3	Name of Receiving Water Body (Indicate whether 303(d) listed):		Intermittent tributary to Deep Creek		
PRIVATE ENTITY ADJUSTMENT FACTOR					
4	Is the entity privately owned?	If yes, adjustment factor of 2.0 is applied.	No		1.0
FLOW ADJUSTMENT FACTOR					
5	Select the appropriate average volume of flow on a day of discharge in millions of gallons per day (MGD). If a facility discharges only on a periodic basis, do <u>not</u> include days with zero flow when calculating the average flow:				
	A	<0.050 mgd (no adjustment is applied)	No adjustment factor is applied.		
	B	≥0.050 mgd and <0.250 mgd	Adjustment factor of 1.5 is applied.		
	C	≥0.250 mgd and <1 mgd	Adjustment factor of 3.0 is applied.	X	3.0
	D	≥1 mgd and <5 mgd	Adjustment factor of 6.0 is applied.		
	E	≥5 mgd and <10 mgd	Adjustment factor of 10.0 is applied.		
	F	≥10 mgd and <50 mgd	Adjustment factor of 15.0 is applied.		
	G	≥50 mgd	Adjustment factor of 20.0 is applied.		
REPEAT VIOLATOR ADJUSTMENT FACTOR					
6	A	How many other state and federal formal enforcement actions has the responsible entity been subject to in the last three years? Include enforcement actions at this facility and any other facilities.	For each enforcement action, adjustment factor is increased 50%.	0	1.0
				<b>TOTAL ADJUSTMENT FACTOR</b>	<b>3.00</b>

Notes: \* RCA = Requires Corrective Action

		Violation(s) / Corrective Action(s)	CWA / Permit Citation	R C A*	No. of Viol- actions	Dollar Amount w/ Adjust. Factor	Total
<b>MONITORING / REPORTING</b>		<b>ESA eligible if violations occurred within the 24 months immediately prior to the ESA offer.</b>					
7	Failure to submit compliance schedule report:						
A	Late but less than 30 days late					\$150 =	
B	Submitted more than 30 days late					\$225 =	
C	Not submitted					\$450 =	
8	Failure to submit timely discharge monitoring report (DMR) and/or DMR submitted with failure to conduct self-monitoring:						
A	DMR late but less than 30 days late					\$150 =	
B	DMR submitted more than 30 days late					\$225 =	
C	DMR not submitted or DMR submitted with a failure to sample pollutants - conventional pollutants  (count each conventional pollutant not reported or not sampled as a violation; BOD, TSS, pH, oil and grease, e. coli, fecal coliform)					\$225 =	
D	DMR not submitted or DMR submitted with a failure to sample pollutants - toxic pollutants  (count each toxic pollutant not reported or not sampled as a violation)					\$225 =	
9	Failure to conduct self-monitoring in accordance with permit requirements, including but no limited to required sample type, sample location, representative sampling, meeting 40 CFR 136 or other permit requirements (count each pollutant with one or more failures)					\$75 =	
10	Failure to submit any other required report or notice (e.g., biosolids report, pretreatment report, industrial user notification, planned changes, anticipated noncompliance, anticipated bypass, etc.):	Per Permit Part S6.E, Industrial User Survey Update is to be submitted by 9/1 annually. 2023 Industrial User Survey Update submitted late on 10/12/23. Per Permit Part R1.B Water Reuse Plan Update due annually by 7/31. Water Reuse Plan Update submitted late on 10/12/23.	S6.E, R1.B				
A	Late but less than 30 days late					\$150 =	
B	Submitted more than 30 days late			No	2	\$225 =	\$450
C	Not submitted					\$450 =	
11	24-Hour Noncompliance Notice						
A	Failure to provide notice of noncompliance					\$225 =	
B	Noncompliance notice late					\$150 =	

12		5-Day Written Noncompliance Follow-up Report:							
	A	Failure to provide report					\$225	=	
	B	Report provided late and/or incomplete					\$150	=	
13		Noncompliance Not Required Within 24 Hours:							
	A	Failure to provide report with DMR					\$75	=	
	B	Report provided late and/or incomplete					\$30	=	
Subtotal Monitoring / Reporting Violations								\$450	
	OPERATIONS AND MAINTENANCE		ESA eligible if violations occurred within the 24 months immediately prior to the ESA offer.						
14		Failure to conduct and document self-inspections of facility (count each month with one or more missed and/or undocumented inspection)				\$120	=		
15		Failure to document all required information in self-inspections or conduct a complete inspection (count each month with one or more partially documented/completed inspection unless the month is accounted for in #15)				\$60	=		
16		Failure to identify and document corrective actions				\$60	=		
17		Failure to meet operation and maintenance requirement of the permit				\$300	=		
18		Failure to manage removed substances in accordance with the permit				\$750	=		
Subtotal Operations and Maintenance Violations								\$0	

EFFLUENT LIMITATIONS		ESA eligible if violations occurred within the 12 months immediately prior to the ESA offer.						
19	<div>Failure to meet effluent limitations:</div> <div>A Months with effluent exceedance less than 40% above the limit - conventional pollutants (count each conventional pollutant separately as a violation; BOD, TSS, pH, oil and grease, e. coli, fecal coliform)</div> <div>B Months with effluent exceedance 40% or more above the limit - conventional pollutants (count each conventional pollutant separately as a violation; BOD, TSS, pH, oil and grease, e. coli, fecal coliform)</div> <div>C Months with effluent exceedance less than 20% above the limit - toxic pollutants (count each toxic pollutant separately as a violation)</div> <div>E Months with effluent exceedance 20% or more above the limit - toxic pollutants (count each toxic pollutant separately as a violation)</div>	Per Permit Part S1.A.1, the temperature limit for Outfall 001 into Deep Creek tributary from April 1st to November 30th is 17.5 deg. C. Temperature exceeded in June 2023 with reported value of 20.3 deg. C (16% exceedance). Temperature exceeded in July 2023 with reported value of 21.3 deg. C (22% exceedance). Temperature exceeded in August 2023 with reported value of 21.8 deg. C (22% exceedance). Temperature exceeded in September 2023 with reported value of 19.9 deg. C (14% exceedance).	S1.A.1	No	4	\$150	=	\$600
					\$225	=		
					\$300	=		
					\$600	=		
Subtotal Effluent Limitations Violations							\$600	
RECORDS		ESA eligible if violations occurred within the 24 months immediately prior to the ESA offer.						
20	Failure to create/maintain sampling and/or analysis records (count each month with one or more failure)					\$120	=	
21	Failure to maintain other records required by the permit (count each month with one or more failure excluding records not maintained in #22)					\$75	=	
Subtotal Records Violations							\$0	
INDUSTRIAL WASTE		ESA eligible if violations occurred within the 60 months immediately prior to the ESA offer.						
22	Failure to meet industrial waste management/pretreatment requirement for POTWs without approved pretreatment programs (excluding failure to provide notice counted in #11)					\$300	=	
ECONOMIC BENEFIT ESTIMATE		ESA eligible if estimated economic benefit of noncompliance is less than total ESA offer.						
23	Enter total estimate economic benefit calculated rounded up to the nearest \$50	\$	850	ESA eligible				
Total Expedited Settlement							\$1,050	